

PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION
(PCT Rule 61.2)

Date of mailing (day/month/year)
19 December 2000 (19.12.00)

To:
Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE
in its capacity as elected Office

International application No.
PCT/IB99/01164

Applicant's or agent's file reference
PDC/AB/20694

International filing date (day/month/year)
08 June 1999 (08.06.99)

Priority date (day/month/year)
08 June 1998 (08.06.98)

Applicant

DECLERCK, Christophe

1. The designated Office is hereby notified of its election made:

in the demand filed with the International Preliminary Examining Authority on:

24 November 1999 (24.11.99)

in a notice effecting later election filed with the International Bureau on:

2. The election was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

Peggy Steunenberg

Facsimile No.: (41-22) 740.14.35

Telephone No.: (41-22) 338.83.38

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

| | | |
|-----|--|--|
| To: | COZENS, Paul, Dennis Mathys & Squire 100 Gray's Inn Road London WC1X 8AL GRANDE BRETAGNE | |
|-----|--|--|

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

| | |
|-------------------------------------|------------|
| Date of mailing (day/month/year) | 02.06.2000 |
|-------------------------------------|------------|

Applicant's or agent's file reference
PDC/AB/20694

IMPORTANT NOTIFICATION

| | | |
|---|--|--|
| International application No. PCT/IB99/01164 | International filing date (day/month/year) 08/06/1999 | Priority date (day/month/year) 08/06/1998 |
|---|--|--|

Applicant
CANAL+SOCIETE ANONYME et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

| | |
|--|---|
| Name and mailing address of the IPEA/ European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465 | Authorized officer Stannartz, B Tel. +49 89 2399-8242 |
|--|---|



PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| | | | |
|--|--|--|---|
| Applicant's or agent's file reference PDC/AB/20694 | FOR FURTHER ACTION | | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |
| International application No. PCT/IB99/01164 | International filing date (day/month/year) 08/06/1999 | Priority date (day/month/year) 08/06/1998 | |
| International Patent Classification (IPC) or national classification and IPC H04N5/00 | | | |
| Applicant CANAL+SOCIETE ANONYME et al. | | | |

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 8 sheets, including this cover sheet.

This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I Basis of the report
- II Priority
- III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV Lack of unity of invention
- V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI Certain documents cited
- VII Certain defects in the international application
- VIII Certain observations on the international application

| | |
|---|--|
| Date of submission of the demand 24/11/1999 | Date of completion of this report 02.06.2000 |
| Name and mailing address of the international preliminary examining authority: European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465 | Authorized officer McGrath, S Telephone No. +49 89 2399 8961 |



**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/IB99/01164

I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

Description, pages:

1-29 as originally filed

Claims, No.:

1-43 as originally filed

Drawings, sheets:

1/7-7/7 as originally filed

2. The amendments have resulted in the cancellation of:

the description, pages:
 the claims, Nos.:
 the drawings, sheets:

3. This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

the entire international application.
 claims Nos. 41-43.

because:

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/IB99/01164

the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):
see separate sheet

the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

no international search report has been established for the said claims Nos. .

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

| | | |
|---------------------|------|-----------------------------|
| Novelty (N) | Yes: | Claims 7,21,31 |
| | No: | Claims 1-6,8-20,22-30,32-40 |
| Inventive step (IS) | Yes: | Claims |
| | No: | Claims 1-40 |

| | | |
|-------------------------------|------|-------------|
| Industrial applicability (IA) | Yes: | Claims 1-40 |
| | No: | Claims |

2. Citations and explanations

see separate sheet

VI. Certain documents cited

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

see separate sheet

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/IB99/01164

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

Concerning Point III - No Opinion

See the section "Concerning Point VIII - clarity" below, section 1.

Concerning Point V - Reasoned Statement

The following documents, cited in the International Search Report, are mentioned in this report:

D1: WO 95 29560 A

D2: WO 97 46008 A

D3: 'FUNCTIONAL MODEL OF A CONDITIONAL ACCESS SYSTEM' 21

December 1995 (1995-12-21) , EBU REVIEW- TECHNICAL, NR. 266,

PAGE(S) 64 - 77 XP000559450

1. The subject-matter of claim 1 does not meet the requirements of Article 33(2) and Rule 64(1-3) PCT since it lacks novelty.

D1, see in particular pages 7-8, discloses:

a decoder for processing a transport packet stream comprising packetised data encapsulated within the packet payloads (Fig. 3), said decoder comprising:

means suitable for receiving an identifier of a particular security module system from a portable security module - Fig. 3, blocks 31, 30, 15, 17 & 21-24, see also page 7, lines 17-21;

means for configuring the decoder in response to the received identifier - Fig. 3, 31, 16, 17, 30;

means for receiving filter data for filtering packetised data associated with said particular security module system from said portable security module (page 8); and

means for filtering said packetised data in response to said received filter data -

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/01164

Fig. 3, 15,30.

The applicants' comments filed with their letter of 04.05.00 have been noted. However, the applicants appear to read more features into the wording of claim 1 than can be fairly interpreted therein. Thus the applicants' arguments are not convincing.

2. The subject-matter of the following claims does not meet the requirements of Article 33(2) and Rule 64(1-3) PCT since novelty is lacking in each case:

claim 10 - see D1;

claim 22 - see D1, Fig. 3 - furthermore virtually any smart card for such a video system has "means for" such operations;

claim 25 - see D1 and point 1. above;

claim 35 - see D1;

3. The following dependent claims do not appear to contain any additional features which, in combination with the features of any claim to which they refer, establish novelty or involve an inventive step:

claim 2 - see D1;

claim 3 - see D1;

claim 4 - see D1, page 5, lines 11- 15;

claim 5 - see D1, Fig. 3, blocks 13 & 19;

claims 6 & 30 - see D1, page 7, lines 33-35;

claims 7 & 31 - it is well-known in all fields of commerce, and pay tv is no exception, that credits may be offered for services and products - see also D3, p. 73, top-left paragraph;

claims 8 & 32 - see D1;

claim 9 - see D1;

claims 11 & 36 - see D1;

claim 12 - it is implicit in D1 that where a plurality of codes are recognized, there are conceptually a "plurality" of filters, since for each item to be recognized the memory storing the data and the comparator form a filter, see also D2;

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/01164

claims 13 & 33 - see D1;
claims 18 & 34 - see D1;
claim 16 - it is implicit that configurable filters "can" be configured to filter or not filter any arbitrary data;
claims 17 & 37 - see D1, see the various busses in Fig. 3;
claims 18 & 38 - see D1;
claims 19 & 39 - see D1, pages 7-8;
claims 20 & 40 - see D1;
claim 21 - see D3, p. 72, top-left paragraph & p. 74
claims 23,24 - see D1;
claims 26-29 - see D1.

Concerning Point VI - Certain Documents

Certain published documents (Rule 70.10)

D4:

| Publication no. | Publication date | Filing date | Priority date |
|-----------------|------------------|-------------|---------------|
| EP-A-0 910 217 | 21.04.99 | 05.10.98 | 14.10.97 |

D5:

| Publication no. | Publication date | Filing date | Priority date |
|-----------------|------------------|-------------|---------------|
| EP-A-0 893 913 | 27.01.99 | 24.07.97 | - |

D6:

| Publication no. | Publication date | Filing date | Priority date |
|-----------------|------------------|-------------|---------------|
| EP-A-0 884 906 | 16.12.98 | 08.06.98 | 10.06.97 |

These documents, although not constituting prior art within the meaning of Rule 64.1(b) PCT, appear to disclose all of the features of the following claims respectively:

D4 - 1-4

D5 - 1,10,22,25,35

D6 - 1,10,22,25,35

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/01164

No check has been made as to whether the priorities of these prior applications have been validly claimed, where appropriate.

Concerning Point VII - Certain Defects

1. The requirements of Rule 6.3(b) PCT are not met since the independent claims are not properly cast in the two part form, according to which those features which in combination are part of the prior art are placed in the preamble.
2. The requirements of Rule 5.1(a)(ii) & (iii) PCT are not met since the background art, useful for understanding the invention, eg the documents mentioned above, have not been acknowledged in the description and the technical problems and any advantageous effects have not yet been stated in comparison to this background art.
3. The requirements of Rule 6.2(b) PCT are not met since reference signs are not used in the claims. It is considered that their presence would significantly aid the understanding of the claims.

Concerning Point VIII - Certain Observations

1. The subject-matter of claims 41-43 lacks clarity and therefore does not meet the requirements of Article 6 PCT. See also Rule 6.2(a) PCT.
2. It should be noted that "means for" features are interpreted as being merely means suitable for - see PCT Guidelines III, 4.8
3. Claims 12 and 13 lack clarity (Art. 6 PCT) since they depend on claim 9 which lacks an antecedent for first and second filters.
4. Claim 17 lacks clarity (Art. 6 PCT) since it lacks an antecedent for "security module" which casts doubt on the claim and all the claims which are dependent on it.

PATENT COOPERATION TREATY

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PCT/PCT Report
INTERNATIONAL SEARCH REPORT 3 NOV 2000

(PCT Article 18 and Rules 43 and 44)

| | | |
|--|---|--|
| Applicant's or agent's file reference PDC/AB/20694 | FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. | |
| International application No. PCT/IB 99/01164 | International filing date (day/month/year) 08/06/1999 | (Earliest) Priority Date (day/month/year) 08/06/1998 |
| Applicant CANAL+SOCIETE ANONYME et al. | | |

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
 - the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing :
 - contained in the international application in written form.
 - filed together with the international application in computer readable form.
 - furnished subsequently to this Authority in written form.
 - furnished subsequently to this Authority in computer readable form.
 - the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
 - the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. Certain claims were found unsearchable (See Box I).

3. Unity of invention is lacking (see Box II).

4. With regard to the title,

- the text is approved as submitted by the applicant.
- the text has been established by this Authority to read as follows:

5. With regard to the abstract,

- the text is approved as submitted by the applicant.
- the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No. 7

- as suggested by the applicant.
- because the applicant failed to suggest a figure.
- because this figure better characterizes the invention.

None of the figures.

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 HO4N5/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 HO4N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|------------------------------------|
| X | WO 97 46008 A (THOMSON CONSUMER ELECTRONICS) 4 December 1997 (1997-12-04) page 3, line 17 -page 11, line 12 --- | 1-5, 10-12, 22, 25-29, 35 |
| X | EP 0 696 141 A (NOKIA TECHNOLOGY GMBH) 7 February 1996 (1996-02-07) column 5, line 3 -column 7, line 32 --- | 1, 6-10, 22, 25, 30-35 |
| X | EP 0 723 371 A (THOMSON MULTIMEDIA SA) 24 July 1996 (1996-07-24) column 3, line 14 -column 5, line 51 --- --/- | 1, 13-16 |



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority, claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

24 September 1999

Date of mailing of the international search report

04/10/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patenlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Fassnacht, C

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|----------|--|--------------------------|
| X | WO 95 29560 A (THOMSON CONSUMER ELECTRONICS) 2 November 1995 (1995-11-02) page 1, line 35 -page 2, line 25 page 4, line 23 -page 8, line 35 figure 3 --- | 1,10, 17-25, 35-43 |
| A | "FUNCTIONAL MODEL OF A CONDITIONAL ACCESS SYSTEM" 21 December 1995 (1995-12-21), EBU REVIEW- TECHNICAL, NR. 266, PAGE(S) 64 - 77 XP000559450 the whole document --- | 1-43 |
| A | SCHOONEVELD VAN D: "STANDARDIZATION OF CONDITIONAL ACCESS SYSTEMS FOR DIGITAL PAY TELEVISION" PHILIPS JOURNAL OF RESEARCH, vol. 50, no. 1/02, July 1996 (1996-07), pages 217-225, XP000627672 page 218, line 12 -page 220, line 9 --- | 1-43 |
| E | EP 0 935 382 A (CANAL PLUS SA) 11 August 1999 (1999-08-11) paragraph '0004! - paragraph '0027! --- | 1-4,10, 22,25,35 |
| P,X | EP 0 910 217 A (THOMSON MULTIMEDIA SA) 21 April 1999 (1999-04-21) paragraph '0031! - paragraph '0043! --- | 1-4 |
| P,X | EP 0 893 913 A (CANAL PLUS SA) 27 January 1999 (1999-01-27) the whole document --- | 1,10,22, 25,35 |
| P,X | EP 0 884 906 A (THOMSON MULTIMEDIA SA) 16 December 1998 (1998-12-16) the whole document ----- | 1,10,22, 25,35 |

| Patent document cited in search report | | Publication date | Patent family member(s) | | Publication date |
|--|---|------------------|-------------------------|------------|------------------|
| WO 9746008 | A | 04-12-1997 | US | 5754651 A | 19-05-1998 |
| | | | AU | 3150797 A | 05-01-1998 |
| | | | AU | 3150897 A | 05-01-1998 |
| | | | AU | 3209497 A | 05-01-1998 |
| | | | AU | 3213297 A | 05-01-1998 |
| | | | AU | 3213397 A | 05-01-1998 |
| | | | EP | 0903033 A | 24-03-1999 |
| | | | EP | 0903034 A | 24-03-1999 |
| | | | EP | 0903035 A | 24-03-1999 |
| | | | EP | 0903036 A | 24-03-1999 |
| | | | EP | 0903038 A | 24-03-1999 |
| | | | PL | 330219 A | 10-05-1999 |
| | | | WO | 9746007 A | 04-12-1997 |
| | | | WO | 9746017 A | 04-12-1997 |
| | | | WO | 9746009 A | 04-12-1997 |
| | | | WO | 9746010 A | 04-12-1997 |
| | | | US | 5844595 A | 01-12-1998 |
| | | | US | 5838873 A | 17-11-1998 |
| | | | US | 5844478 A | 01-12-1998 |
| <hr/> | | | | | |
| EP 0696141 | A | 07-02-1996 | FI | 943582 A | 02-02-1996 |
| <hr/> | | | | | |
| EP 0723371 | A | 24-07-1996 | FR | 2729521 A | 19-07-1996 |
| | | | JP | 8307850 A | 22-11-1996 |
| <hr/> | | | | | |
| WO 9529560 | A | 02-11-1995 | US | 5619501 A | 08-04-1997 |
| | | | CA | 2188127 A | 02-11-1995 |
| | | | CN | 1151233 A | 04-06-1997 |
| | | | CN | 1167405 A | 10-12-1997 |
| | | | DE | 69505369 D | 19-11-1998 |
| | | | DE | 69505369 T | 15-04-1999 |
| | | | EP | 0756801 A | 05-02-1997 |
| | | | EP | 0858222 A | 12-08-1998 |
| | | | ES | 2123243 T | 01-01-1999 |
| | | | JP | 9512675 T | 16-12-1997 |
| | | | US | 5802063 A | 01-09-1998 |
| <hr/> | | | | | |
| EP 0935382 | A | 11-08-1999 | WO | 9940719 A | 12-08-1999 |
| <hr/> | | | | | |
| EP 0910217 | A | 21-04-1999 | FR | 2769779 A | 16-04-1999 |
| | | | CN | 1220549 A | 23-06-1999 |
| <hr/> | | | | | |
| EP 0893913 | A | 27-01-1999 | AU | 8353498 A | 16-02-1999 |
| | | | WO | 9905854 A | 04-02-1999 |
| <hr/> | | | | | |
| EP 0884906 | A | 16-12-1998 | FR | 2764454 A | 11-12-1998 |
| | | | CN | 1202770 A | 23-12-1998 |
| <hr/> | | | | | |

PATENT COOPERATION TREATY

PCT Rec'd 08 NOV 2000

From the:
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:
 COZENS, Paul, Dennis
 Mathys & Squire
 100 Gray's Inn Road
 London WC1X 8AL
 GRANDE BRETAGNE

REPLY DUE
 08/12/2000
 reply written opin
 Rep OA - 01/2

PCT

WRITTEN OPINION
(PCT Rule 66)

| | | |
|---|--|--|
| Applicant's or agent's file reference PDC/AB/20694 | | Date of mailing (day/month/year) 09.02.2000 |
| International application No. PCT/IB99/01164 | International filing date (day/month/year) 08/06/1999 | Priority date (day/month/year) 08/06/1998 |
| International Patent Classification (IPC) or both national classification and IPC H04N5/00 | | |
| Applicant CANAL+SOCIETE ANONYME et al. | | |

1. This written opinion is the first drawn up by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I Basis of the opinion
- II Priority
- III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV Lack of unity of invention
- V Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI Certain document cited
- VII Certain defects in the international application
- VIII Certain observations on the international application

3. The applicant is hereby invited to reply to this opinion.

When? See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).

How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

Also: For an additional opportunity to submit amendments, see Rule 66.4. For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis. For an informal communication with the examiner, see Rule 66.6.

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 08/10/2000.

| | |
|--|--|
| Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465 | Authorized officer / Examiner McGrath, S Formalities officer (incl. extension of time limits) Thomas, R Telephone No. +49 89 2399 8240 <div style="text-align: right;">  </div> |
|--|--|

I. Basis of the opinion

1. This opinion has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed"*):

Description, pages:

1-29 as originally filed

Claims, No.:

1-43 as originally filed

Drawings, sheets:

1/7-7/7 as originally filed

2. The amendments have resulted in the cancellation of:

the description, pages:
 the claims, Nos.:
 the drawings, sheets:

3. This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been and will not be examined in respect of:

the entire international application,
 claims Nos. 41-43,

because:

the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):
see separate sheet

the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

no international search report has been established for the said claims Nos. .

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. Statement**

| | | |
|-------------------------------|--------|----------------------|
| Novelty (N) | Claims | 1-6,8-20,22-30,32-40 |
| Inventive step (IS) | Claims | 7,21,31 |
| Industrial applicability (IA) | Claims | |

2. Citations and explanations**see separate sheet****VI. Certain documents cited****1. Certain published documents (Rule 70.10)**

and / or

2. Non-written disclosures (Rule 70.9)**see separate sheet****VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

Concerning Point III - No Opinion

See the section "Concerning Point VIII - clarity" below, section 1.

Concerning Point V - Reasoned Statement

The following documents, cited in the International Search Report, are mentioned in this written opinion:

D1: WO 95 29560 A

D2: WO 97 46008 A

D3: 'FUNCTIONAL MODEL OF A CONDITIONAL ACCESS SYSTEM' 21
December 1995 (1995-12-21), EBU REVIEW- TECHNICAL, NR. 266,
PAGE(S) 64 - 77 XP000559450

1. The subject-matter of claim 1 does not meet the requirements of Article 33(2) and Rule 64(1-3) PCT since it lacks novelty.

D1, see in particular pages 7-8, discloses:

a decoder for processing a transport packet stream comprising packetised data encapsulated within the packet payloads (Fig. 3), said decoder comprising:

means *suitable for* receiving an identifier of a particular security module system from a portable security module - Fig. 3, blocks 31, 30, 15, 17 & 21-24, see also page 7, lines 17-21;

means for configuring the decoder in response to the received identifier - Fig. 3, 31, 16, 17, 30;

means for receiving filter data for filtering packetised data associated with said particular security module system from said portable security module (page 8);
and

means for filtering said packetised data in response to said received filter data -

Fig. 3, 15,30.

2. The subject-matter of the following claims does not meet the requirements of Article 33(2) and Rule 64(1-3) PCT since novelty is lacking in each case:

claim 10 - see D1;

claim 22 - see D1, Fig. 3 - furthermore virtually any smart card for such a video system has "means for" such operations;

claim 25 - see D1 and point 1. above;

claim 35 - see D1;

3. The following dependent claims do not appear to contain any additional features which, in combination with the features of any claim to which they refer, establish novelty or involve an inventive step:

claim 2 - see D1;

claim 3 - see D1;

claim 4 - see D1, page 5, lines 11- 15;

claim 5 - see D1, Fig. 3, blocks 13 & 19;

claims 6 & 30 - see D1, page 7, lines 33-35;

claims 7 & 31 - it is well-known in all fields of commerce, and pay tv is no exception, that credits may be offered for services and products - see also D3, p. 73, top-left paragraph;

claims 8 & 32 - see D1;

claim 9 - see D1;

claims 11 & 36 - see D1;

claim 12 - it is implicit in D1 that where a plurality of codes are recognized, there are conceptually a "plurality" of filters, since for each item to be recognized the memory storing the data and the comparator form a filter, see also D2;

claims 13 & 33 - see D1;

claims 14,15 & 34 - see D1;

claim 16 - it is implicit that configurable filters "can" be configured to filter or not filter any arbitrary data;

claims 17 & 37 - see D1, see the various busses in Fig. 3;
claims 18 & 38 - see D1;
claims 19 & 39 - see D1, pages 7-8;
claims 20 & 40 - see D1;
claim 21 - see D3, p. 72, top-left paragraph & p. 74
claims 23,24 - see D1;
claims 26-29 - see D1.

Concerning Point VI - Certain Documents

Certain published documents (Rule 70.10)

D4:

| Publication no. | Publication date | Filing date | Priority date |
|-----------------|------------------|-------------|---------------|
| EP-A-0 910 217 | 21.04.99 | 05.10.98 | 14.10.97 |

D5:

| Publication no. | Publication date | Filing date | Priority date |
|-----------------|------------------|-------------|---------------|
| EP-A-0 893 913 | 27.01.99 | 24.07.97 | - |

D6:

| Publication no. | Publication date | Filing date | Priority date |
|-----------------|------------------|-------------|---------------|
| EP-A-0 884 906 | 16.12.98 | 08.06.98 | 10.06.97 |

These documents, although not constituting prior art within the meaning of Rule 64.1(b) PCT, appear to disclose all of the features of the following claims respectively:

D4 - 1-4

D5 - 1,10,22,25,35

D6 - 1,10,22,25,35

No check has been made as to whether the priorities of these prior applications have been validly claimed, where appropriate.

Concerning Point VII - Certain Defects

1. To meet the requirements of Rule 6.3(b) PCT the independent claims should be properly cast in the two part form, with those features which in combination are part of the prior art being placed in the preamble.
2. The opening part of the description should be amended so that it is in agreement with any new claims (Rule 5.1(a)(iii)).
3. In order to indicate more completely the background art, useful for understanding the invention, the documents mentioned above should be acknowledged in the description (Rule 5.1(a)(ii)) and technical problems and any advantageous effects should be stated in comparison to this background art (Rule 5.1(a)(iii)).
4. Reference signs should be introduced throughout the claims (Rule 6.2(b)).

Concerning Point VIII - Certain Observations

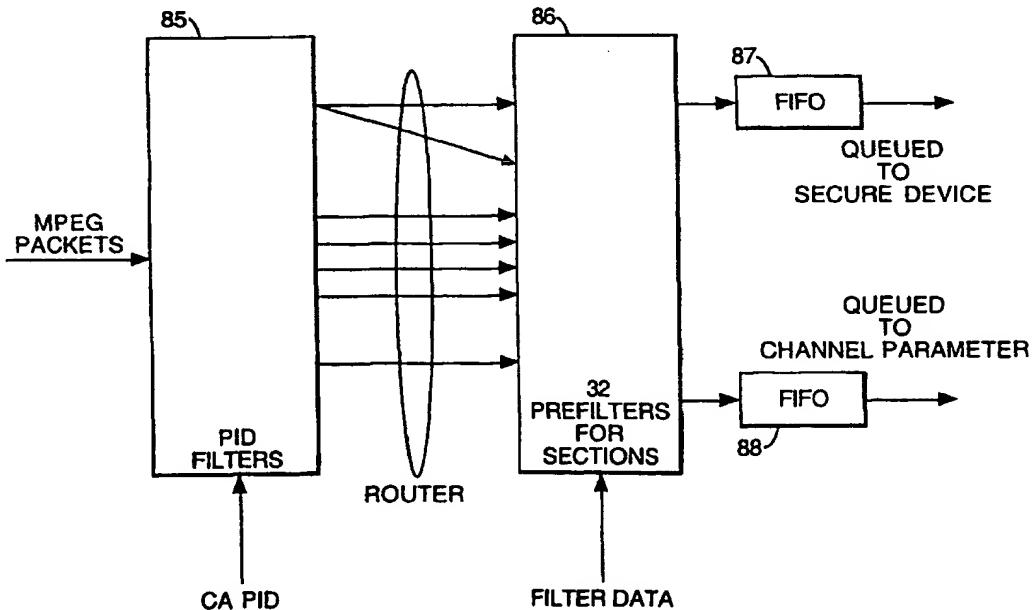
1. The subject-matter of claims 41-43 lacks clarity and therefore does not meet the requirements of Article 6 PCT. See also Rule 6.2(a) PCT.
2. It should be noted that "means for" features are interpreted as being merely means suitable for - see PCT Guidelines III, 4.8
3. Claims 12 and 13 lack clarity (Art. 6 PCT) since they depend on claim 9 which lacks an antecedent for first and second filters.
4. Claim 17 lacks clarity (Art. 6 PCT) since it lacks an antecedent for "security module" which casts doubt on the claim and all the claims which are dependent on it.



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

| | | |
|---|----|---|
| (51) International Patent Classification ⁶ : | A1 | (11) International Publication Number: WO 99/65231 |
| H04N 5/00 | | (43) International Publication Date: 16 December 1999 (16.12.99) |
| <p>(21) International Application Number: PCT/TB99/01164</p> <p>(22) International Filing Date: 8 June 1999 (08.06.99)</p> <p>(30) Priority Data: 98401374.8 8 June 1998 (08.06.98) EP</p> <p>(71) Applicant (for all designated States except US): CANAL+ SOCIETE ANONYME [FR/FR]; 85/89, quai André Citroën, F-75711 Paris (FR).</p> <p>(72) Inventor; and</p> <p>(75) Inventor/Applicant (for US only): DECLERCK, Christophe [FR/FR]; 3, rue des Ormes Dancourt, F-28210 Senantes (FR).</p> <p>(74) Agents: COZENS, Paul, Dennis et al.; Mathys & Squire, 100 Gray's Inn Road, London WC1X 8AL (GB).</p> | | <p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> |

(54) Title: DECODER FOR, AND METHOD OF, PROCESSING A TRANSPORT PACKET STREAM



(57) Abstract

A decoder (12) is described for processing a transport packet stream. The packet stream comprises packetised data encapsulated within the packet payloads. The decoder comprises: means (81) for receiving an identifier of a particular security module system from a portable security module; means (81) for configuring the decoder in response to the received identifier; means (80) for receiving filter data for filtering packetised data associated with said particular security module system from the portable security module; and means (80) for filtering said packetised data in response to said received filter data.

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DECODER FOR, AND METHOD OF, PROCESSING A TRANSPORT
PACKET STREAM

The present invention relates to a decoder for, and a method of, processing a
5 transport packet stream. The invention is particular suitable for a receiver/decoder
for a digital transmission system, in particular for use in a digital television system.

Conventional digital television broadcast systems transmit data in the form of discrete
transport stream packets or transport packets, each packet being of a predetermined
10 length and containing a header and a payload. The MPEG standard is the currently
favoured standard in this domain and sets out, amongst other things, a predetermined
format for such packets.

15 The packet header comprises general descriptive data regarding the packet, whilst the
payload comprises the data to be processed at the receiver/decoder. The packet
header includes at least a packet ID or PID identifying the packet. The payload of
the packet may contain audio, video or other data such as application data or, in
particular, conditional access system data.

20 Conventionally, the incoming data stream is filtered by a receiver/decoder according
to the PID of each packet. Data requiring immediate processing such as audio or
visual data is communicated to an appropriate processor in the form of what is
conventionally known as a packetised elementary stream or PES. This continuous
flux of data, which is formed by assembling the payloads of the transport packets,
25 itself comprises a sequence of packets, each PES packet comprising a packet header
and payload.

Other data not requiring immediate processing may also be encapsulated within the
payloads of the transport packets. Unlike PES data, which is treated immediately by
30 a processor to generate a real time output, this sort of data is typically processed in
an asynchronous manner by the receiver/decoder processor. In this case, data is
formatted in a single table or a series of sections or tables, each including a header

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and a payload, the header of the section or table including a table ID or TID.

In the case where the access to a transmission is to be restricted, for example, in a pay TV system, conditional access data may be included in a table or section
5 broadcast in the transport stream with the transmission. This conditional access data is filtered by the decoder and passed to a portable security module, such as smartcard, inserted in the decoder. The data is then processed by the smartcard in order to generate, for example, a control word subsequently used by the decoder to descramble a transmission.

10

One problem lies in the volume of data that will be received and processed by the decoder and notably the volume of conditional access data eventually forwarded to the security module. In particular, the processing capabilities of a security module processor and the capacity of the communication channel between the decoder and security module may be insufficient to handle a given volume of messages. This problem is exacerbated by the increasing tendency for programmes to be transmitted with multiple conditional access messages enabling access by different operators to the same programme (e.g. a football match or a thematic television channel).

20 In a first aspect, the present invention provides a decoder for processing a transport packet stream comprising packetised data encapsulated within the packet payloads, said decoder comprising:

means for receiving an identifier of a particular security module system from a portable security module;

25 means for configuring the decoder in response to the received identifier; means for receiving filter data for filtering packetised data associated with said particular security module system from the portable security module; and

means for filtering said packetised data in response to said received filter data.

30 Different security modules may be associated with different respective security module systems, each security module storing therein an identifier of the particular system with which it is associated. When the security module is first connected with,

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or inserted into, the decoder, the security module can communicate the stored identifier to the decoder. The configuring means can then configure the decoder in response to the received identifier in order to extract from the packetised data data associated with the particular security module system. This can provide a simple,
5 cheap and efficient way of configuring the decoder for one of a number of different security module systems.

As described above, the filtering means is configurable to filter the packetised data in response to filter data received from the security module. This can enable the
10 filtering means to configured to filter from the extracted packet data only the packet data which is of interest to the security module. This can enable the flow of data to the security module to be greatly reduced to conform with the processing capabilities of the security module.

15 In one preferred embodiment, the means for receiving the identifier of the security module system and configuring the decoder comprise an application stored in the decoder, and the means for receiving the filter data and filtering the packetised data comprise a filter.

20 The filtering means may be configurable by said configuring means to extract from the packetised data data associated with the particular security module system for subsequent filtering in response to the received filter data.

In one preferred embodiment, the identifier comprises an identifier of a particular
25 conditional access system. The decoder can be configured to extract from the packetised data data associated only with the conditional access system used by the security module. This can provide simple customisation of the decoder for any one of a number of different conditional access systems used by respective service providers. Thus, the subscriber need not be limited by, for example, the supplier of
30 the decoder to one conditional access system only.

The filtering means may be adapted to extract from the packetised data transport

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packets containing a program map table and a conditional access table. The configuring means may be adapted to receive the program map table and conditional access table from the filtering means and configure the filtering means in response to the received identifier and data contained in the program map table and the
5 conditional access table. This can enable the filtering means to identify readily the packet ID of the data associated with the particular conditional access system.

Preferably, the filtering means is configurable in response to filter data comprising at least a table identifier or section identifier for the extracted transport packet data.

10 Filtering data at the table or section level in response to information from the security module enables a more precise identification and selection of data to be carried out, for example, to extract relevant conditional access messages addressed to the module. The filtering means may equally be configurable in accordance with other data received from the portable security module.

15

In another preferred embodiment, the identifier comprises an identifier of a particular debiting system used by the security module. Different security modules may utilise different debiting systems for, for example, pay-per-view or pay-per-file events. For example, the security module may store a wallet of electronic tokens, the number of
20 tokens being decreased when such an event is purchased. Alternatively, the security module may include features of a credit card, which may require the decoder to read the credit card details and communicate with a bank in order to debit the subscriber's account by an appropriate amount upon purchase of such an event. By the passing of the identifier to the decoder by the security module, the security module can
25 configure the decoder to perform the debiting operation in the manner supported by the security module.

Alternatively, or additionally, the identifier may comprise an identifier of a particular crediting system used by the security module. Again, different security modules may utilise different crediting systems. For example, the wallet of electronic tokens stored in the security module may be increased, or the subscriber's bank account may be credited, by the program provider when the subscriber has viewed a promotional
30

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event, such as a drinks advertisement. By the passing of the identifier to the decoder by the security module, the security module can configure the decoder to perform the crediting operation in the manner supported by the security module.

5 In a preferred embodiment, the filtering means comprises first filtering means, for example a first set of filters, for extracting from the packetised data data associated with said particular security module system and second filtering means, for example a second set of filters, for filtering the extracted data in response to said filter data.

10 Thus, in a second aspect the present invention provides a decoder for processing a transport packet stream comprising packetised data encapsulated within the packet payloads, said decoder comprising:

first filtering means for extracting from the packetised data data associated with a particular security module system; and

15 second filtering means for filtering the extracted data in response to filter data received from a portable security module.

The first filtering means may be configurable in response to an identifier of said particular security module system received from said security module.

20 At least one of the filters of said second set of filters may be configurable in response to a data pattern included in said filter data.

25 The at least one of the filters of said second set of filters may be configurable to filter from the packetised data data having a pattern matching said data pattern included in the filter data, or configurable to not filter from the packetised data data having a pattern matching said data pattern included in the filter data.

30 The at least one of the filters of said second set of filters may be configurable to ignore at least part of said data pattern in response to a data masking pattern included in said filter data.

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In a preferred embodiment, the decoder comprises means, such as the filter, for forwarding to the security module conditional access data obtained in accordance with the filter data received from the security module.

5 Whilst the present invention is particularly adapted to enable a reduction of the volume of conditional access messages communicated between the decoder and the module, it will be nevertheless appreciated that the filtering means may be configured to extract data other than conditional access data and having a destination other than the security module.

10 Conditional access data filtered and forwarded to the security module may comprise entitlement control messages (ECMs) and/or entitlement management messages (EMMs).

15 Even within a group of messages associated with a single conditional access system there may be a large number of messages irrelevant to a particular user within that system. For example, within a single conditional access system a number of different groups of users may be defined leading to the generation of a number of EMMs, not all of which may be relevant to a given user.

20 Preferably therefore, filter data provided by the security module comprises data used by the filter means to extract group and/or individual entitlement management messages addressed to the security module.

25 In one embodiment, the decoder is adapted to receive a control word generated by the security module in response to the conditional access data forwarded thereto, the control word being used by the decoder to descramble a scrambled transmission.

30 In order to preserve security, some or all communications between the security module and the decoder may be encrypted. In particular, the descrambling control word generated by the security module and eventually transmitted to the decoder may be encrypted.

Whilst the present invention may apply to any packet transmission system, the present invention is particularly applicable to a decoder adapted to receive an MPEG compatible data stream, for example, comprising table, section or other packetised data encapsulated within the packet payloads.

5

In this regard, the term "table, section or other packetised data" refers in its broadest sense to any data table, alone or in a sequence, and comprising a header and payload and that is itself encapsulated within a transport packet stream. As will be described in the preferred embodiment, the present invention is particularly applicable to filtering of data contained within an MPEG table, notably a single MPEG short form table.

10

In the context of this application, the term MPEG refers to the data transmission standards developed by the International Standards Organisation working group "Motion Pictures Expert Group" and in particular but not exclusively the MPEG-2 standard developed for digital television applications and set out in the documents ISO 13818-1, ISO 13818-2, ISO 13818-3 and ISO 13818-4. In the context of the present patent application, the term MPEG includes all variants, modifications or developments of MPEG formats applicable to the field of digital data transmission.

15

The present invention also provides a portable security module for use with a decoder as aforementioned, said security module comprising memory means for storing an identifier of a particular security module system and means for communicating the identifier to the decoder to configure the decoder.

20

In one preferred embodiment, the portable security module comprises a smartcard. As used herein, the term "smartcard" includes, but not exclusively so, any chip-based card device, or object of similar function and performance, possessing, for example, microprocessor and/or memory storage. Included in this term are devices having alternative physical forms to a card, for example key-shaped devices such as are often used in TV receiver/decoder systems, credit cards, and devices where access to the information stored within the device is restricted.

30

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The present invention also provides a method of processing a transport packet stream comprising packetised data encapsulated within the packet payloads, said method comprising the steps at a decoder of:

receiving an identifier of a particular security module system from a portable
5 security module;

configuring the decoder in response to the received identifier;

receiving filter data for filtering packetised data associated with said particular
security module system from the portable security module; and

filtering said packetised data in response to said received filter data.

10 The present invention also provides a method of processing a transport packet stream comprising packetised data encapsulated within the packet payloads, said method comprising the steps at a decoder of:

extracting from the packetised data data associated with a particular security
15 module system; and

filtering the extracted data in response to filter data received from a portable
security module.

20 The present invention also provides a decoder for a digital transmission system adapted to receive a transport packet stream containing table, section or other packetised data encapsulated within the packet payloads and characterised in that the decoder comprises a means for filtering the encapsulated data configurable in response to filter data received from a portable security module. In addition to a filtering at the table or section level, the decoder may further carry out a transport level filtering
25 in order, for example, to extract only these packets comprising data associated with the particular conditional access system used by the security module. Preferably, therefore the decoder further comprises a means for filtering transport packet data configurable in response to data received from the security module. Advantageously, the means for filtering transport packet data may be configurable in response to data representing the identity of the conditional access system received from the security
30 module.

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Features described above relating to device aspects of the present invention can also be applied to method aspects, and vice versa.

The term "decoder" or "receiver/decoder" used herein may connote a receiver for
5 receiving either encoded or non-encoded signals, for example, television and/or radio signals, which may be broadcast or transmitted by some other means. Embodiments of such receiver/decoders may include a decoder integral with the receiver for decoding the received signals, for example, in a "set-top box", a decoder functioning in combination with a physically separate receiver, as well as a decoder including
10 additional functions, such as a web browser or integrated with a video recorder or a television.

As used herein, the term "digital transmission system" includes any transmission system for transmitting or broadcasting digital data, for example primarily audiovisual or multimedia digital data. Whilst the present invention is particularly applicable to a broadcast digital television system, the invention may also be applicable to a fixed telecommunications network for multimedia internet applications, to a closed circuit television, and so on.
15

20 As used herein, the term "digital television system" includes for example any satellite, terrestrial, cable and other system.

There will now be described, by way of example only, a preferred embodiment of the invention, with reference to the following figures, in which:

25 Figure 1 shows the overall architecture of a digital TV system according to this embodiment;

Figure 2 shows the architecture of the conditional access system of Figure 1;

30 Figure 3 shows the hierarchy of MPEG-2 packets, in particular those associated with conditional access messages;

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Figure 4 shows the structure of long form and short form MPEG-2 private sections;

Figure 5 shows the elements of a receiver/decoder for use in this embodiment;

5 Figure 6 shows the elements of the receiver/decoder used to process the transport stream, in particular in relation to conditional access messages; and

Figure 7 shows the structure of the PID and section filters of the filter unit of Fig. 6.

10

An overview of a digital television broadcast and reception system 1 is shown in Figure 1. The invention includes a mostly conventional digital television system 2 which uses the MPEG-2 compression system to transmit compressed digital signals. In more detail, MPEG-2 compressor 3 in a broadcast centre receives a digital signal stream (for example a stream of audio or video signals). The compressor 3 is connected to a multiplexer and scrambler 4 by linkage 5. The multiplexer 4 receives a plurality of further input signals, assembles one or more transport streams and transmits compressed digital signals to a transmitter 6 of the broadcast centre via linkage 7, which can of course take a wide variety of forms including telecom links.

15

The transmitter 6 transmits electromagnetic signals via uplink 8 towards a satellite transponder 9, where they are electronically processed and broadcast via a notional downlink 10 to earth receiver 11, conventionally in the form of a dish owned or rented by the end user. The signals received by receiver 11 are transmitted to an integrated receiver/decoder 12 owned or rented by the end user and connected to the end user's television set 13. The receiver/decoder 12 decodes the compressed MPEG-2 signal into a television signal for the television set 13.

20

A conditional access system 20 is connected to the multiplexer 4 and the receiver/decoder 12, and is located partly in the broadcast centre and partly in the receiver/decoder. It enables the end user to access digital television broadcasts from one or more broadcast suppliers. A smartcard, capable of decrypting messages

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relating to commercial offers (that is, one or several television programmes sold by the broadcast supplier), can be inserted into the receiver/decoder 12. Using the receiver/decoder 12 and smartcard, the end user may purchase events in either a subscription mode or a pay-per-view mode.

5

An interactive system 17, also connected to the multiplexer 4 and the receiver/decoder 12 and again located partly in the broadcast centre and partly in the receiver/decoder, may be provided to enable the end user to interact with various applications via a modemmed back channel 16.

10

The conditional access system 20 will now be described in more detail.

With reference to Figure 2, in overview the conditional access system 20 includes a Subscriber Authorization System (SAS) 21. The SAS 21 is connected to one or more 15 Subscriber Management Systems (SMS) 22, one SMS for each broadcast supplier, by a respective TCP-IP linkage 23 (although other types of linkage could alternatively be used). Alternatively, one SMS could be shared between two broadcast suppliers, or one supplier could use two SMSs, and so on.

20 First encrypting units in the form of ciphering units 24 utilising "mother" smartcards 25 are connected to the SAS by linkage 26. Second encrypting units again in the form of ciphering units 27 utilising mother smartcards 28 are connected to the multiplexer 4 by linkage 29. The receiver/decoder 12 receives a "daughter" smartcard 30. It is connected directly to the SAS 21 by Communications Servers 31 via the modemmed back channel 16. The SAS sends, amongst other things, 25 subscription rights to the daughter smartcard on request.

The smartcards contain the secrets of one or more commercial operators. The "mother" smartcard encrypts different kinds of messages and the "daughter" 30 smartcards decrypt the messages, if they have the rights to do so.

The first and second ciphering units 24 and 27 comprise a rack, an electronic VME

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card with software stored on an EEPROM, up to 20 electronic cards and one smartcard 25 and 28 respectively, for each electronic card, one card 28 for encrypting the ECMS and one card 25 for encrypting the EMMs.

5 The operation of the conditional access system 20 of the digital television system will now be described in more detail with reference to the various components of the television system 2 and the conditional access system 20.

Multiplexer and Scrambler

10 With reference to Figures 1 and 2, in the broadcast centre, the digital audio or video signal is first compressed (or bit rate reduced), using the MPEG-2 compressor 3. This compressed signal is then transmitted to the multiplexer and scrambler 4 via the linkage 5 in order to be multiplexed with other data, such as other compressed data.

15 The scrambler generates a control word used in the scrambling process and included in the MPEG-2 stream in the multiplexer. The control word is generated internally and enables the end user's integrated receiver/decoder 12 to descramble the programme.

20 Access criteria, indicating how the programme is commercialised, are also added to the MPEG-2 stream. The programme may be commercialised in either one of a number of "subscription" modes and/or one of a number of "Pay Per View" (PPV) modes or events. In the subscription mode, the end user subscribes to one or more 25 commercial offers, or "bouquets", thus getting the rights to watch every channel inside those bouquets. In the preferred embodiment, up to 960 commercial offers may be selected from a bouquet of channels.

30 In the Pay Per View mode, the end user is provided with the capability to purchase events as he wishes. This can be achieved by either pre-booking the event in advance ("pre-book mode"), or by purchasing the event as soon as it is broadcast ("impulse mode"). In the preferred embodiment, all users are subscribers, whether or not they

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watch in subscription or PPV mode, but of course PPV viewers need not necessarily be subscribers.

Entitlement Control Messages

5

Both the control word and the access criteria are used to build an Entitlement Control Message (ECM). This is a message sent in relation with a scrambled program; the message contains a control word (which allows for the descrambling of the program) and the access criteria of the broadcast program. The access criteria and control word are transmitted to the second encrypting unit 27 via the linkage 29. In this unit, an ECM is generated, encrypted and transmitted on to the multiplexer and scrambler 4. During a broadcast transmission, the control word typically changes every few seconds, and so ECMs are also periodically transmitted to enable the changing control word to be descrambled. For redundancy purposes, each ECM typically includes two control words; the present control word and the next control word.

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Each service broadcast by a broadcast supplier in a data stream comprises a number of distinct components; for example a television programme includes a video component, an audio component, a sub-title component and so on. Each of these components of a service is individually scrambled and encrypted for subsequent broadcast to the transponder 9. In respect of each scrambled component of the service, a separate ECM is required. Alternatively, a single ECM may be required for all of the scrambled components of a service. Multiple ECMs are also generated in the case where multiple conditional access systems control access to the same transmitted program.

Programme Transmission

30

The multiplexer 4 receives electrical signals comprising encrypted EMMs from the SAS 21, encrypted ECMs from the second encrypting unit 27 and compressed programmes from the compressor 3. The multiplexer 4 scrambles the programmes and sends the scrambled programmes, the encrypted EMMs and the encrypted ECMs

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to a transmitter 6 of the broadcast centre via the linkage 7. The transmitter 6 transmits electromagnetic signals towards the satellite transponder 9 via uplink 8.

Programme Reception

5

The satellite transponder 9 receives and processes the electromagnetic signals transmitted by the transmitter 6 and transmits the signals on to the earth receiver 11, conventionally in the form of a dish owned or rented by the end user, via downlink 10. The signals received by receiver 11 are transmitted to the integrated receiver/decoder 12 owned or rented by the end user and connected to the end user's television set 13. The receiver/decoder 12 demultiplexes the signals to obtain scrambled programmes with encrypted EMMs and encrypted ECMS.

If the programme is not scrambled, that is, no ECM has been transmitted with the 15 MPEG-2 stream, the receiver/decoder 12 decompresses the data and transforms the signal into a video signal for transmission to television set 13.

If the programme is scrambled, the receiver/decoder 12 extracts the corresponding 20 ECM from the MPEG-2 stream and passes the ECM to the "daughter" smartcard 30 of the end user. This slots into a housing in the receiver/decoder 12. The daughter smartcard 30 controls whether the end user has the right to decrypt the ECM and to access the programme. If not, a negative status is passed to the receiver/decoder 12 to indicate that the programme cannot be descrambled. If the end user does have the rights, the ECM is decrypted and the control word extracted. The receiver/decoder 25 12 can then descramble the programme using this control word. The MPEG-2 stream is decompressed and translated into a video signal for onward transmission to television set 13.

Entitlement Management Messages (EMMs)

30

The EMM is a message dedicated to an individual end user (subscriber), or a group of end users. Each group may contain a given number of end users. This

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organisation as a group aims at optimising the bandwidth; that is, access to one group can permit the reaching of a great number of end users.

Various specific types of EMM can be used. Individual EMMs are dedicated to
5 individual subscribers, and are typically used in the provision of Pay Per View services; these contain the group identifier and the position of the subscriber in that group.

Group subscription EMMs are dedicated to groups of, say, 256 individual users, and
10 are typically used in the administration of some subscription services. This EMM has a group identifier and a subscribers' group bitmap.

Audience EMMs are dedicated to entire audiences, and might for example be used by a particular operator to provide certain free services. An "audience" is the totality
15 of subscribers having smartcards which bear the same conditional access system identifier (CA ID). Finally, a "unique" EMM is addressed to the unique identifier of the smartcard.

Subscriber Management System (SMS)

20

A Subscriber Management System (SMS) 22 includes a database 32 which manages, amongst others, all of the end user files, commercial offers, subscriptions, PPV details, and data regarding end user consumption and authorization. The SMS may be physically remote from the SAS.

25

Each SMS 22 transmits messages to the SAS 21 via respective linkage 23 which imply modifications to or creations of Entitlement Management Messages (EMMs) to be transmitted to end users.

30

The SMS 22 also transmits messages to the SAS 21 which imply no modifications or creations of EMMs but imply only a change in an end user's state (relating to the authorization granted to the end user when ordering products or to the amount that

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the end user will be charged).

The SAS 21 sends messages (typically requesting information such as call-back information or billing information) to the SMS 22, so that it will be apparent that
5 communication between the two is two-way.

Subscriber Authorization System (SAS)

The messages generated by the SMS 22 are passed via linkage 23 to the Subscriber
10 Authorization System (SAS) 21, which in turn generates messages acknowledging receipt of the messages generated by the SMS 21 and passes these acknowledgements to the SMS 22.

In overview the SAS comprises a Subscription Chain area to give rights for
15 subscription mode and to renew the rights automatically each month, a Pay Per View Chain area to give rights for PPV events, and an EMM Injector for passing EMMs created by the Subscription and PPV chain areas to the multiplexer and scrambler 4, and hence to feed the MPEG stream with EMMs. If other rights are to be granted, such as Pay Per File (PPF) rights in the case of downloading computer software to
20 a user's Personal Computer, other similar areas are also provided.

One function of the SAS 21 is to manage the access rights to television programmes, available as commercial offers in subscription mode or sold as PPV events according to different modes of commercialisation (pre-book mode, impulse mode). The SAS
25 21, according to those rights and to information received from the SMS 22, generates EMMs for the subscriber.

The EMMs are passed to the Ciphering Unit (CU) 24 for ciphering with respect to the management and exploitation keys. The CU completes the signature on the EMM and passes the EMM back to a Message Generator (MG) in the SAS 21, where a header is added. The EMMs are passed to a Message Emitter (ME) as complete EMMs. The Message Generator determines the broadcast start and stop time and the
30

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rate of emission of the EMMs, and passes these as appropriate directions along with the EMMs to the Message Emitter. The MG only generates a given EMM once; it is the ME which performs cyclic transmission of the EMMs.

5 On generation of an EMM, the MG assigns a unique identifier to the EMM. When the MG passes the EMM to the ME, it also passes the EMM ID. This enables identification of a particular EMM at both the MG and the ME.

In systems such as simulcrypt which are adapted to handle multiple conditional access
10 systems e.g. associated with multiple operators, EMM streams associated with each conditional access system are generated separately and multiplexed together by the multiplexer 4 prior to transmission.

Conditional Access Messages in the Transport Stream

15 The different nature of ECM and EMM messages leads to differences vis à vis the mode of transmission of the messages in the MPEG transport stream. ECM messages, which carry the control words needed to descramble a programme are necessarily linked to the video and audio streams of the programme being transmitted.
20 In contrast EMM messages are general messages broadcast asynchronously to transmit rights information to individual or groups of customers. This difference is reflected in the placing of ECM and EMM messages within the MPEG transport stream.

As is known, MPEG transport packets are of a fixed length of 188 bytes including
25 a header. In a standard packet, the three bytes of the header following the synchronisation data comprise:

| | | |
|---------|------------------------------|---------|
| TABLE I | Transport error indicator | 1 bit |
| | Payload unit indicator | 1 bit |
| 30 | Transport priority | 1 bit |
| | PID | 13 bits |
| | Transport scrambling control | 2 bits |

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| | |
|--------------------------|--------|
| Adaptation field control | 2 bits |
| Continuity counter | 4 bits |

The characteristics of these fields are largely determined by the MPEG standard.

5

Referring to Figure 3, the organisation of data within a transport stream will be described. As shown, the transport stream contains a programme association table 40 ("PAT"), the PID in the header of the packet being fixed by the MPEG-2 standard at a value of 0x00. The programme access table 40 provides the entry point for 10 access to programme data and contains a table referring to the PID values of the programme map tables ("PMT") 41, 42 associated with a number of programmes. Each programme map table 41, 42 contains in turn a reference to the PID values of the packet streams of the audio tables 43 and video tables 44 of that programme.

15

As shown, the programme map table 42 also contains references to the PID values of other packets 45, 46 containing additional data relating to the programme in question. In the present case ECM data generated by a number of conditional access systems and associated with the programme in question is contained within the referred packets 45, 46.

20

In addition to the programme access table PAT 40, the MPEG transport stream further comprises a conditional access table 47 ("CAT"), the PID value of which is fixed at 0x01. Any packet headers containing this PID value are thus automatically identified as containing access control information. The CAT table 47 refers to the 25 PID values of MPEG packets 48, 49, 50 associated with EMM data associated with one or more conditional access systems. As with the PMT packets, the PID values of the EMM packets referred to in the CAT table are not fixed and may be determined at the choice of the system operator.

30

Private Section Data

In conformity with the MPEG-2 standard, information contained with a packet

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payload is subject to a further level of structure according to the type of data being transported. In the case of audio, visual, teletext, subtitle or other such rapidly evolving and synchronised data, the information is assembled in the form of what is known as a packetised elementary stream or PES. This data stream, which is formed by assembling the payloads of the transmitted packets, itself comprises a sequence of packets, each packet comprising a packet header and payload. Unlike the transmitted packets in the transport stream, the length of PES packets is variable.

In the case of other data, such as application data or, in this example, ECM and EMM data, a different format from PES packeting is proscribed. In particular, data contained in the transport packet payload is divided into a series of sections or tables, the table or section header including a table ID or TID identifying the table in question. Depending on the size of the data, a section may be contained entirely within a packet payload or may be extended in a series of tables over a number of transport packets. In the MPEG-2 context, the term "table" is often used to refer to a single table of data, whilst "section" refers to one of a plurality of tables with the same TID value.

As with transport packet data and PES packet data, the data structure of a table or section is additionally defined by the MPEG-2 standard. In particular, two possible syntax forms for private table or section data are proposed; a long form or a short form, as illustrated in Figure 4.

In both the short and long form, the header includes at least the data 60 comprising:

| | | | |
|----|----------|----------------------------|---------|
| 25 | TABLE II | Table id | 8 bits |
| | | Section syntax indicator | 1 bit |
| | | Private indicator/reserved | 1 bit |
| | | ISO reserved | 2 bits |
| 30 | | Section length | 12 bits |

The private indicator and private section lengths are comprised of data not fixed by

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the MPEG-2 standard and which may be used by the system operator for his own purposes.

In the case of short form, the header 60 is immediately followed by the payload data

5 61. In the case of the long form, a further header section 62 is provided before the payload 63 and the message equally includes a CRC check value 64. The long form, which is typically used when a message is so long that it must be divided into a number of sections, contains the information necessary to assemble the sections, such as the section number, the number of the last section in the sequence of sections etc.

10

For further information regarding the long and short form table data, the reader is directed to the MPEG-2 standard.

In the case of conditional access ECM and EMM messages, the data may usually be

15 accommodated in a single table and the short form will be the appropriate format. A specific syntax for such short form conditional access messages is proposed in the context of the present invention, namely:

| | | |
|-----------|--|-------------------|
| TABLE III | Table id (filter data) | 8 bits (1 byte) |
| 20 | Section syntax indicator | 1 bit |
| | Private indicator/reserved | 1 bit |
| | ISO reserved | 2 bits |
| | Section length | 12 bits |
| | CA specific header field (filter data) | 56 bits (7 bytes) |

25

For such CA messages, the table id value may be set by the system operator at, for example, 0x80 and 0x81 for ECM messages (for example, odd and even messages) and 0x82 to 0x8F for EMM messages. These values are not MPEG-2 proscribed and may be chosen at the discretion of the system operator.

30

Equally, in the case of the CA specific header field, hereby designated as the first 7 bytes of the payload following the header, the parameters may be set by the system

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operator to reflect, for example, the fact that the CA message is an EMM message carrying individual, group or audience subscription information. In this manner the "header" of such a table or section is extended.

5 The advantages of such message syntax will become clear later, with regard to the processing and filtering of messages by the receiver/decoder, notably by using the Table id and CA specific field data.

Receiver/decoder

10

Referring to Figure 5, the elements of a receiver/decoder 12 or set-top box for use in a digital broadcast system and adapted to be used in the present invention will now be described. As will be understood, the basic elements of this receiver/decoder are largely conventional and their implementation will be within the capabilities of one skilled in the art.

15

As shown, the receiver/decoder 12 is equipped with several interfaces for receiving and transmitting data, in particular a tuner 70 for receiving broadcast MPEG transmissions, a serial interface 71, a parallel interface 72, and a modem 73 for sending and receiving data via the telephone network. The receiver/decoder also includes a first and second smartcard reader 74 and 75, the first reader 74 for accepting the subscription smartcard and the second reader 75 for accepting bank and/or other smartcards.

20

The receiver/decoder also includes a receiver 76 for receiving infra-red control signals from a handset remote control 77 and a Peritel output for sending audiovisual signals to a television 13 connected to the receiver/decoder.

25

Processing of digital signals received via the interfaces and generation of output signals is handled by an ensemble of hardware and software elements here grouped together as a central control unit 78. The software architecture of the control unit within the receiver/decoder may correspond to that used in a known receiver/decoder

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and will not be described here in any detail. It may be based, for example, on a virtual machine interacting via an interface layer with a lower level operating system implemented in the hardware components of the receiver/decoder. In terms of hardware architecture, the control unit 78 will be equipped with a processor, memory elements such as ROM, RAM, FLASH memory etc. as in known receiver/decoders.

Applications processed by the control unit 78 may be resident applications stored in the ROM or FLASH of the receiver/decoder or applications broadcast and downloaded via the MPEG interface 2 of the receiver/decoder. Applications can include program guide applications, games, interactive services, teleshopping applications, as well as initiating applications to enable the receiver/decoder to be immediately operational upon start-up and applications for configuring aspects of the receiver/decoder. Applications are stored in memory locations in the receiver/decoder and represented as resource files comprising graphic object descriptions files, unit files, variables block files, instruction sequence files, applications files, data files etc.

Filtering of Conditional Access Data

Figure 6 shows in schematic form the elements necessary for processing packet and table data in accordance with this embodiment of the invention. As will be understood, the elements shown in this figure may be implemented in hardware, software or in combination of the two.

The broadcast transmission received from the satellite receiver are passed via the conventional tuner 70 and an associated demodulator unit 79. The tuner 70 typically scans a range of frequencies, stopping when a chosen carrier frequency is detected within that range. The signals are then treated by the demodulator unit 79 which extracts and forwards the transport packet stream to a demux and filter unit 80. The filter structure of the demux and filter unit 80 will be described in detail below in relation to Figure 7. As will be understood, the actual choice of components needed to implement such a unit is at the discretion of the manufacturer and the most

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important aspect of such a unit is the chosen filter configuration.

In the case of data encrypted in accordance with a conditional access system as per the present embodiment, the filter unit interacts with a smartcard 30 (or any other 5 secure device) inserted in the receiver/decoder 12 and a channel parameter application 81, typically implemented as a software application in the receiver/decoder.

The filter unit 80 extracts from the transport packet stream the PMT and CAT tables present in the stream. Referring back to Figure 3, this filtering operation is carried 10 out at a PID level, the CAT table being identified by the PID value 0x01 and the appropriate PMT table corresponding to the chosen broadcast channel being extracted via the PAT table (PID value: 0x00) and the PID value of the chosen channel identified in the PAT table.

15 The channel parameter application 81 additionally receives from the smartcard 30 an identification of the conditional access system associated with that smartcard. Again, referring back to Figure 3, a first conditional access system is associated with ECM and EMM data in the packets 45 and 48, respectively. Using the conditional access system ID received from the smartcard 30 and the PMT and CAT tables received 20 from the filter unit 80, the application 81 determines the PID values of the conditional access packets associated with the conditional access system in question and returns these values to the filter unit 80.

25 In the case of a simplified system, where a relatively small number of ECM and EMMs are emitted, no other filtering may be necessary and these PID values may be used by the filter unit 80 to extract all relevant ECM and EMM private sections from the identified packets and to thereafter forward the data contained within these sections to the smartcard 30.

30 This conditional access data is then processed by the microprocessor within the smartcard 30 and the control word associated with the transmission passed to a descrambling unit 83. The descrambling unit 83 receives scrambled audiovisual or

-24-

other data information extracted from the transport packet stream by the demux and filter unit 80, descrambles the information using the control word and thereafter passes the data to a convention MPEG-2 chip which prepares the data for subsequent display on the associated television display.

5

However, whilst a PID level filter enables an extraction of those ECM and EMM messages associated exclusively with the conditional access system in question, there may nevertheless be a large proportion of messages irrelevant to the user. These messages may include group EMM messages for other user groups, individual EMM 10 messages for other users etc. The throughput of conditional access messages passed to the smartcard may therefore be very high. Given the limitations of the processor power and memory of smartcards, this throughput may be in practice more than the card can handle.

15 In order to overcome this problem, the smartcard 30 is adapted to pass further filter data to the unit 80 for use in a section or table level filter process.

Referring to the Table III above, tables containing conditional access data include Table id and CA specific header fields which are chosen to identify, for example, the 20 presence of an EMM or ECM (table id values 0x80 or 0x81 and 0x82 to 0x8F, respectively) and the type of message (CA specific data identifying the group concerned by a group EMM message, the presence of an audience EMM message etc.). Depending on the data that it requires, the smartcard 30 will send the necessary table id and CA specific data to configure the filter unit to extract and 25 return only those conditional access messages of interest to the smartcard. In this way, the flow of data sent to the smartcard may be reduced to conform with the processing capabilities of the smartcard microprocessor.

Referring to Figure 7, the details of the filtering unit 80 will be described. Typically, 30 the unit may be implemented as a hardware resource, driven by a firmware managing application with the receiver/decoder. As shown, a first set of filters 85 carries out a PID filtering process using the CA PID information received from the channel

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parameter application. The PID filters 85 may equally be configured to extract other relevant packets such as the PMT, CAT tables sent to the channel parameter application. Other PID filters (not shown) may be used to extract the audiovisual PES packet information eventually sent to the descrambler etc.

5

Once stripped of the packet header, the private section or table data is then routed to a set of prefilters 86 adapted to filter the 8 bytes in the extended header of a table. As shown in Table III, 1 byte of the extended header is associated with the table id, 7 bytes with the CA specific information. The filtering operation is carried out by 10 comparison of the 8 byte pattern in a table with the filter data received from the smartcard. Some bits within the 8 byte, 64 bit pattern may be masked or ignored in the evaluation. In this embodiment, 32 different patterns are proposed, a subset of these patterns being applied by the prefilters in dependence of the information received from the smartcard. If one pattern matches, the section is sent to the FIFO 15 buffer element 87. If no pattern matches, the section is ignored. The filters 86 equally act to extract from the appropriate sections the PMT and CAT table information, which is passed to a FIFO buffer 88.

Due to the characteristics of the transport layer, the arrival of sections is bursty. The 20 buffer capacity of the buffers 87, 88 must be sufficient to handle an average rate of 5Mbits/s, with the insertion of packets being based on a regular allocation with a possible deviation of $\pm 25\%$.

In order to better understand the invention, a proposed example of operating 25 instructions handled by the section filters 86 will now be outlined.

Filter_all_sections (Filter_id, Target, Mask, Trigger_conditions, p/n)

This command retrieves every section matching the target except masked bits after trigger_conditions occurred.

30

Filter_next_section (Filter_id, Target, Mask, Trigger_conditions, p/n)

This command retrieves the next section matching the target except masked bits after

-26-

trigger_conditions occurred. Trigger_conditions are related to other filters previously identified as matching.

5 *Filter_id* is an index between 0 and 31, pointing to a filter and an output queue. In addition, it gives the queuing priority, 0 being the highest priority.

Target is an 8 bytes pattern.

Mask is an 8 bytes pattern showing the bits to be masked in the target, value 0 means masked.

10 *Trigger_conditions* is a 32 bits bitmap, ORing filter_id triggering that filter. Bit set at 0 means no trigger condition. Self trigger condition is ignored.

p/n is a value, normally set to 1, positive for normal operation as described above. When set to 0 it means negative filtering, i.e., retrieve sections not matching target.

Examples of use:

15

Example 1:

Filter_all_sections(5, 0x8C7C453AA8BBFF00, 0XFF557FFFEEFFFF00, 0, 1)
will capture all EMMs corresponding to matching criteria.

20

Example 2:

Filter_next_section(0, 0x8000000000000000, 0xFF00000000000000, 0, 1)

Filter_next_section(1, 0x8100000000000000, 0xFF00000000000000, 5, 1)

Filter_next_section(2, 0x8000000000000000, 0xFF00000000000000, 3, 1)

will start an ECM capture process with odd/even toggle.

25

Example 3:

Filter_next_section(8, 0xPMT_TID0000Version_number00000000,
0xFF00001F00000000, 0, 0)

Filter_next_section(1, 0x8100000000000000, 0xFF00000000000000, 0x14, 1)

30

Filter_next_section(2, 0x8000000000000000, 0xFF00000000000000, 0x12, 1)

will start an ECM capture process with odd/even toggle, starting when there is a change in the PMT.

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In terms of communication of CA messages and filter data to and from the smartcard 82 and filter unit 80, a standard protocol such as ISO7816 may be used. Since not all of the data in the filtered private section is required by the smartcard 82, the section may be modified and a message of the following format sent to the smartcard:

5

| | | |
|----|--------------------------|----------|
| | Table id | 8 bits |
| | Zero | 11 bits |
| | Filter id | 5 bits |
| | CA specific header field | 56 bits |
| 10 | CA message | N*8 bits |

The meaning of each of these terms will be clear from the above description. In terms of the filter data sent from the smartcard 82 to the filter 80, the following format may be used:

15

| | | |
|----|-----------------------|---------|
| | Number of filters | 8 bits |
| | Filtering instruction | 5 bits |
| | Filter id | 5 bits |
| | Target | 64 bits |
| 20 | Mask | 64 bits |
| | Trigger conditions | 5 bits |
| | p/n | 1 bit |

Number_of_filters describes the number of filters to be set in this instruction.

25 *Filtering_instruction* is describing the type of instruction (filter next section, filter all sections).

Filter_id is an index pointing to a filter and an output queue. In addition, it gives the queuing priority, 0 being the highest priority.

Target is the target pattern.

30 *Mask* is a pattern showing the bits to be masked in the target, value 0 means masked.

Trigger_conditions is a bitmap, ORing filter_id triggering that filter. Bit set at 0 means no trigger condition. Self trigger condition is ignored.

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p/n is a value, normally set to 1, positive for normal operation as described above. When set to 0 it means negative filtering, i.e., retrieve sections not matching target.

In practice, communications between the smartcard and the receiver/decoder may be
5 subject to a level of encryption or scrambling for security reasons. In particular, communications between the smartcard 82 and filter unit 80, as well as the control word stream sent to the descrambler unit 83 may be encoded in this way. Encryption algorithms suitable for this purpose are widely known (RSA, DES etc.).

10 Whilst the above embodiment has described configuration of the receiver/decoder 12 in response to an identification of the conditional access system associated with the smartcard 30, the present invention is not limited to configuration of the receiver/decoder in relation to this one security module system. The decoder may be configurable in response to any one of a number of different security module systems.

15 For example, the smartcard 30 may use a debiting system in which a "wallet" of electronic tokens stored in the smartcard is debited a certain amount when the subscriber purchases a PPV event. Alternatively, the smartcard 30 may use a debiting system similar to that used in a credit card, in which the receiver/decoder reads banking information stored in the smartcard and contacts a bank via the modemmed back channel 16 in order to debit the subscriber's bank account. By passing an identifier of the debiting system to an application, such as, or similar to, the channel parameter application 81, the application can configure the decoder to perform the debiting operation in the manner supported by the smartcard.

25 In another example, the smartcard 30 may use a crediting system in which the wallet of electronic tokens stored in the smartcard is credited a certain amount when the subscriber has viewed, for example, a particular promotional event. Alternatively, the smartcard 30 may use a crediting system similar to that used in a credit card, in
30 which the receiver/decoder reads banking information stored in the smartcard and contacts a bank via the modemmed back channel 16 in order to credit the subscriber's bank account. By passing an identifier of the crediting system to an application, such

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as, or similar to, the channel parameter application 81, the application can configure the decoder to perform the crediting operation in the manner supported by the smartcard.

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CLAIMS

1. A decoder for processing a transport packet stream comprising packetised data encapsulated within the packet payloads, said decoder comprising:
 - 5 means for receiving an identifier of a particular security module system from a portable security module;
 - means for configuring the decoder in response to the received identifier;
 - means for receiving filter data for filtering packetised data associated with said 10 particular security module system from the portable security module; and
 - means for filtering said packetised data in response to said received filter data.
2. A decoder according to Claim 1, wherein the filtering means is configurable by said configuring means to extract from the packetised data data associated with 15 said particular security module system for subsequent filtering in response to said received filter data.
3. A decoder according to Claim 1 or 2, wherein said identifier comprises an 20 identifier of a particular conditional access system.
4. A decoder according to Claim 3, wherein the filtering means is adapted to extract from the packetised data transport packets containing a program map table and a conditional access table.
- 25 5. A decoder according to Claim 4, wherein the configuring means is adapted to receive the program map table and conditional access table from the filtering means and configure the filtering means in response to the received identifier and data contained in the program map table and the conditional access table.
- 30 6. A decoder according to any preceding claim, wherein said identifier comprises an identifier of a particular debiting system used by the security module.

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7. A decoder according to any preceding claim, wherein said identifier comprises an identifier of a particular crediting system used by the security module.

8. A decoder according to any preceding claim, wherein the filtering means is 5 configurable in response to filter data comprising at least a table identifier or a section identifier for the packetised data.

9. A decoder according to any preceding claim, wherein the filtering means comprises first filtering means for extracting from the packetised data data associated 10 with said particular security module system and second filtering means for filtering the extracted data in response to said filter data.

10. A decoder for processing a transport packet stream comprising packetised data encapsulated within the packet payloads, said decoder comprising:

15 first filtering means for extracting from the packetised data data associated with a particular security module system; and

second filtering means for filtering the extracted data in response to filter data received from a portable security module.

20 11. A decoder according to Claim 10, wherein the first filtering means is configurable in response to an identifier of said particular security module system received from said security module.

25 12. A decoder according to any of Claims 9 to 11, wherein said second filtering means comprises a plurality of filters, at least one of said filters being configurable in response to said filter data.

13. A decoder according to any of Claims 9 to 12, wherein said second filtering means is configurable in response to a data pattern included in said filter data.

30 14. A decoder according to Claim 13, wherein said second filtering means is configurable to filter from the extracted data data having a pattern matching said data

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pattern included in the filter data.

15. A decoder according to Claim 13, wherein said second filtering means is configurable to not filter from the extracted data data having a pattern matching said
5 data pattern included in the filter data.

16. A decoder according to any of Claims 13 to 15, wherein said second filtering means is configurable to ignore at least part of said data pattern in response to a data masking pattern included in said filter data.

10

17. A decoder according to any preceding claim, comprising means for forwarding to the security module conditional access data included in the packetised data.

18. A decoder according to Claim 17, wherein the conditional access data
15 forwarded to the security module comprises entitlement control messages (ECMs) and/or entitlement management messages (EMMs).

19. A decoder according to any preceding claim, wherein the filter data provided
20 by the security module comprises data used by the filtering means to extract group and/or individual entitlement management messages addressed to the security module.

20. A decoder according to any of Claims 17 to 19, wherein the decoder is adapted to receive a control word generated by the security module in response to the conditional access data forwarded thereto, the control word being used by the decoder
25 to descramble a scrambled transmission.

21. A decoder according to any preceding claim adapted to encrypt and/or decrypt communications to and from the portable security module.

30 22. A portable security module for use with a decoder as claimed in any preceding claim, said security module comprising memory means for storing an identifier of a particular system of the security module and means for communicating the identifier

to the decoder to configure the decoder.

23. A portable security module according to Claim 22, comprising means for storing filter data and means for communicating the filter data to filtering means in

5 the decoder.

24. A portable security module according to Claim 22 or 23 comprising a smartcard.

10 25. A method of processing a transport packet stream comprising packetised data encapsulated within the packet payloads, said method comprising the steps at a decoder of:

receiving an identifier of a particular security module system from a portable security module;

15 configuring the decoder in response to the received identifier;

receiving filter data for filtering packetised data associated with said particular security module system from the portable security module; and

filtering said packetised data in response to said received filter data.

20 26. A method according to Claim 25, wherein the packetised data is filtered to extract data associated with said particular security module system.

27. A method according to Claim 25 or 26, wherein said identifier comprises an identifier of a particular conditional access system.

25

28. A method according to Claim 27, wherein transport packets containing a program map table and a conditional access table are extracted from said packetised data.

30 29. A method according to Claim 28, wherein the packetised data is filtered in response to the received identifier and data contained in the program map table and the conditional access table.

30. A method according to any of Claims 25 to 29, wherein said identifier comprises an identifier of a particular debiting system used by the security module.

5 31. A method according to any of Claims 25 to 30, wherein said identifier comprises an identifier of a particular crediting system used by the security module.

32. A method according to any of Claims 25 to 31, wherein the filter data comprises at least a table identifier or a section identifier for the packetised data.

10 33. A method according to any of Claims 25 to 32, wherein the packetised data is filtered according to a data pattern included in the filter data.

34. A method according to Claim 33, wherein data having a pattern matching said data pattern is filtered from the packetised data.

15 35. A method of processing a transport packet stream comprising packetised data encapsulated within the packet payloads, said method comprising the steps at a decoder of:

20 extracting from the packetised data data associated with a particular security module system; and

filtering the extracted data in response to filter data received from a portable security module.

36. A method according to Claim 35, wherein an identifier of said particular security module system is received from said security module.

25 37. A method according to any of Claims 25 to 36, wherein conditional access data included in the extracted data is forwarded to the security module.

30 38. A method according to Claim 37, wherein the conditional access data forwarded to the security module comprises entitlement control messages (ECMs) and/or entitlement management messages (EMMs).

39. A method according to any of Claims 25 to 38, wherein the filter data provided by the security module comprises data used by the decoder to extract group and/or individual entitlement management messages addressed to the security module.

5 40. A method according to any of Claims 37 to 39, wherein the a control word is generated by the security module in response to the conditional access data forwarded thereto, the control word being used by the decoder to descramble a scrambled transmission.

10 41. A decoder substantially as herein described.

42. A portable security module substantially as herein described.

15 43. A method of processing a transport packet stream substantially as herein described.

Fig.1.

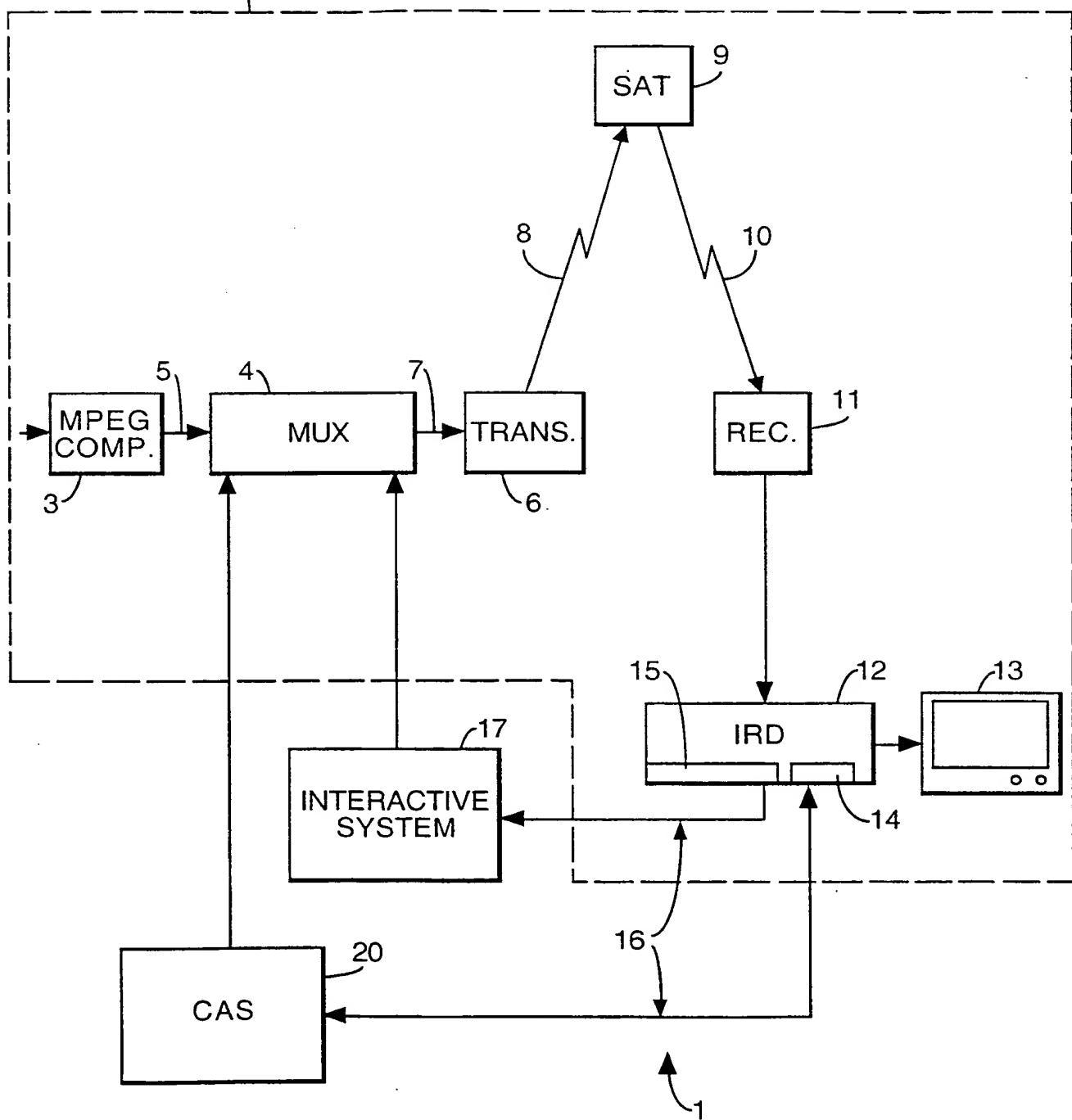


Fig.2.

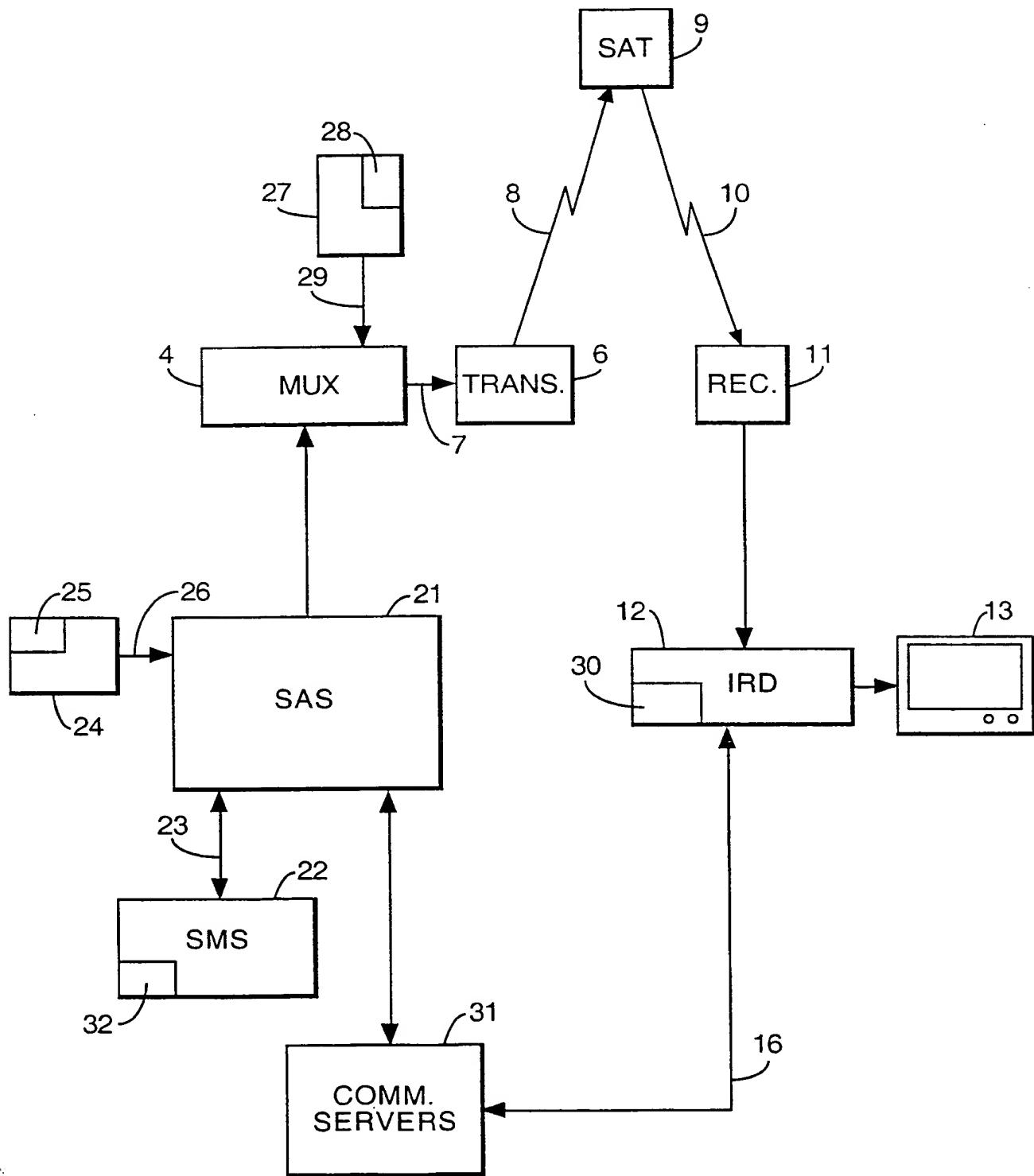


Fig.3.

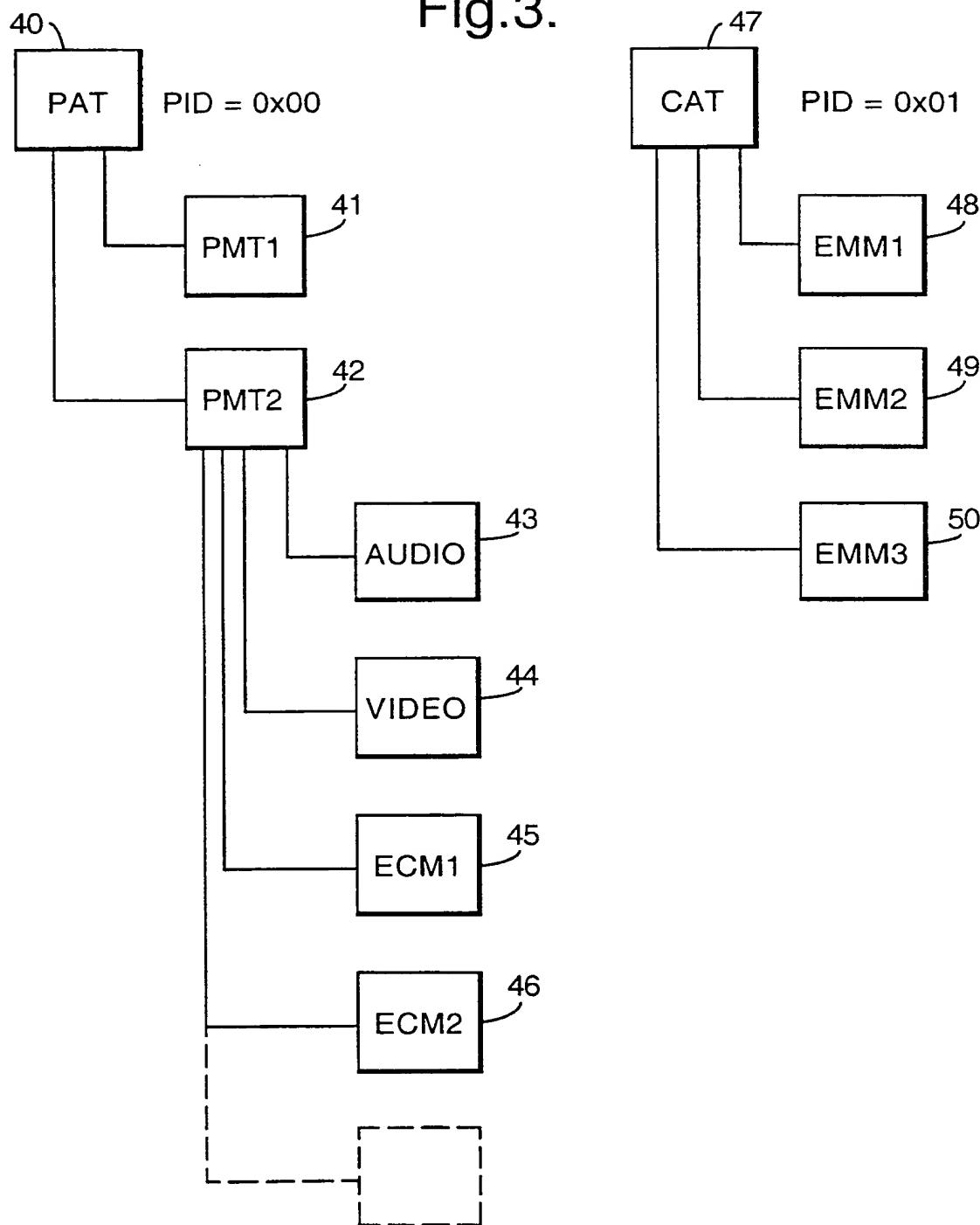


Fig.4.

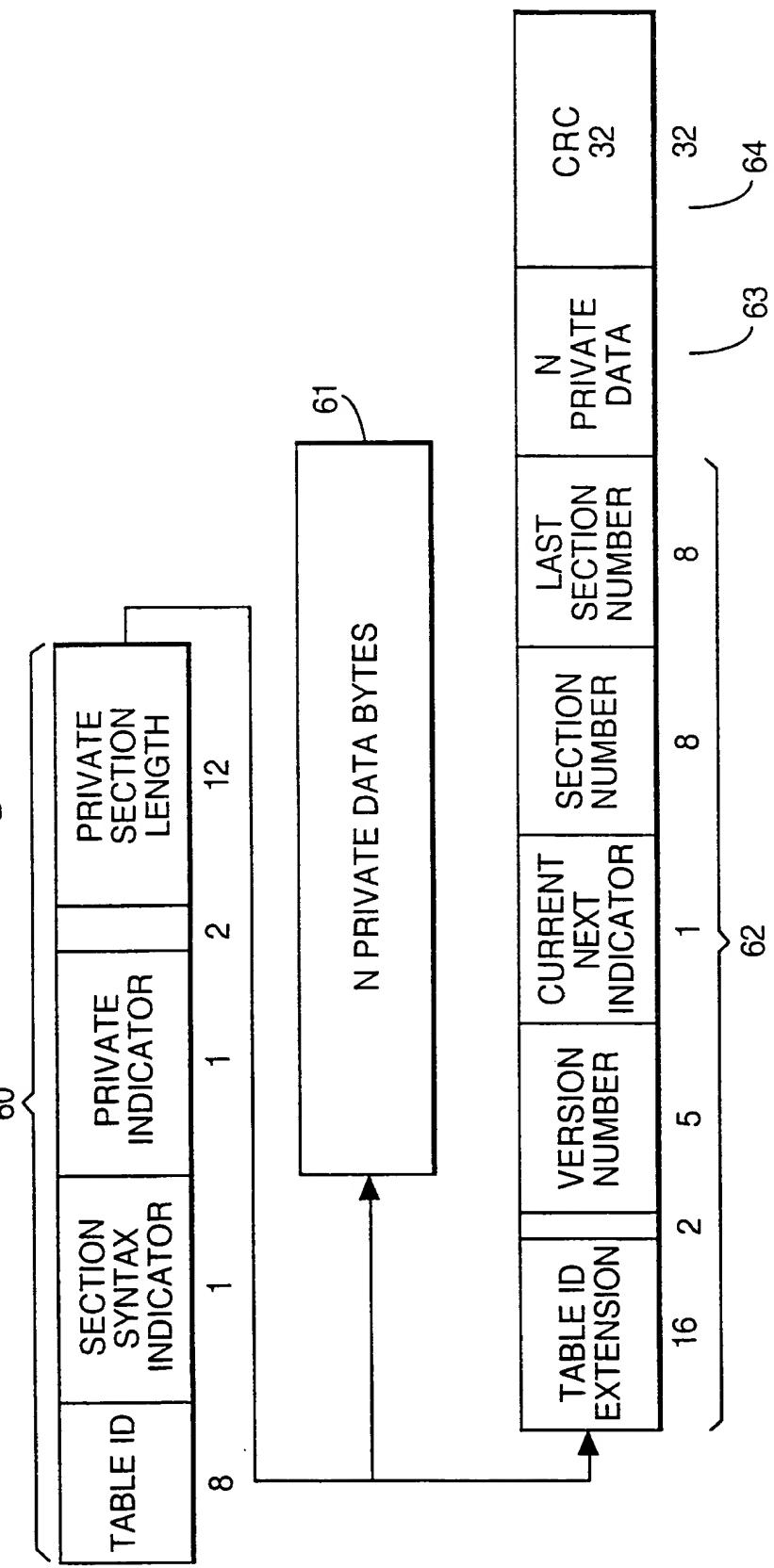


Fig.5.

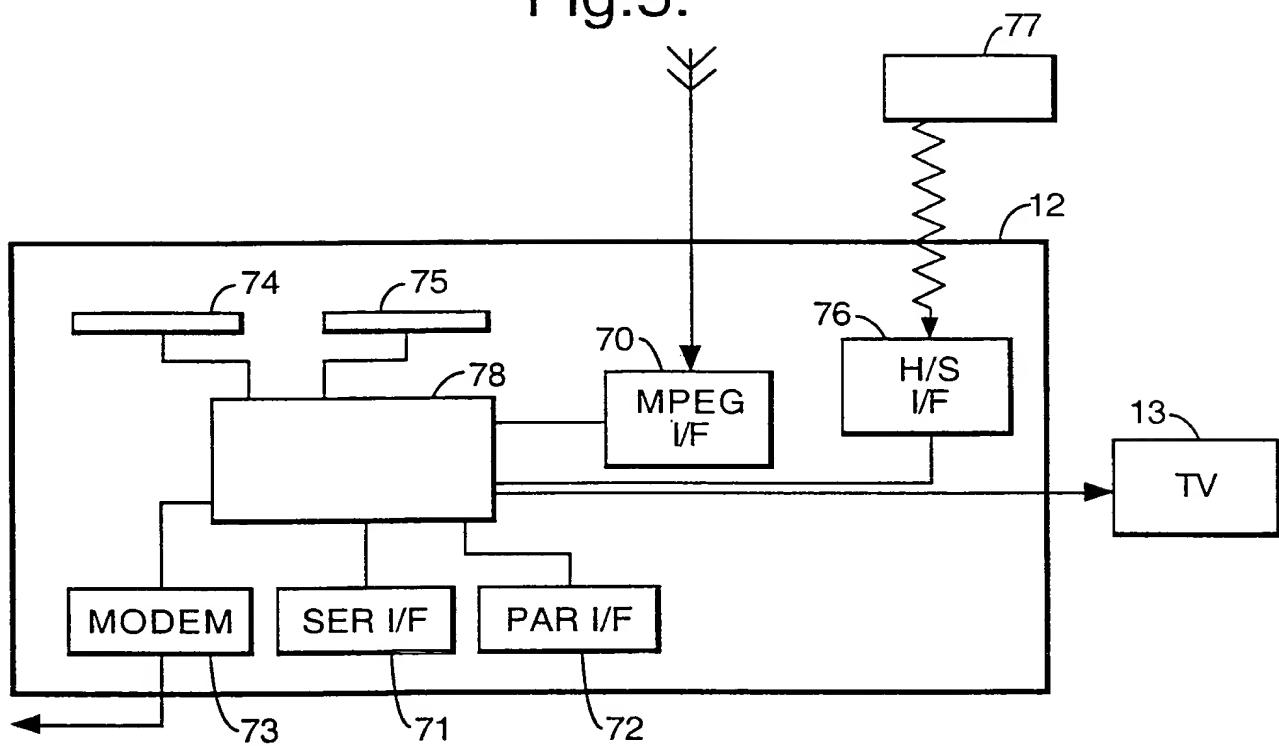


Fig.6.

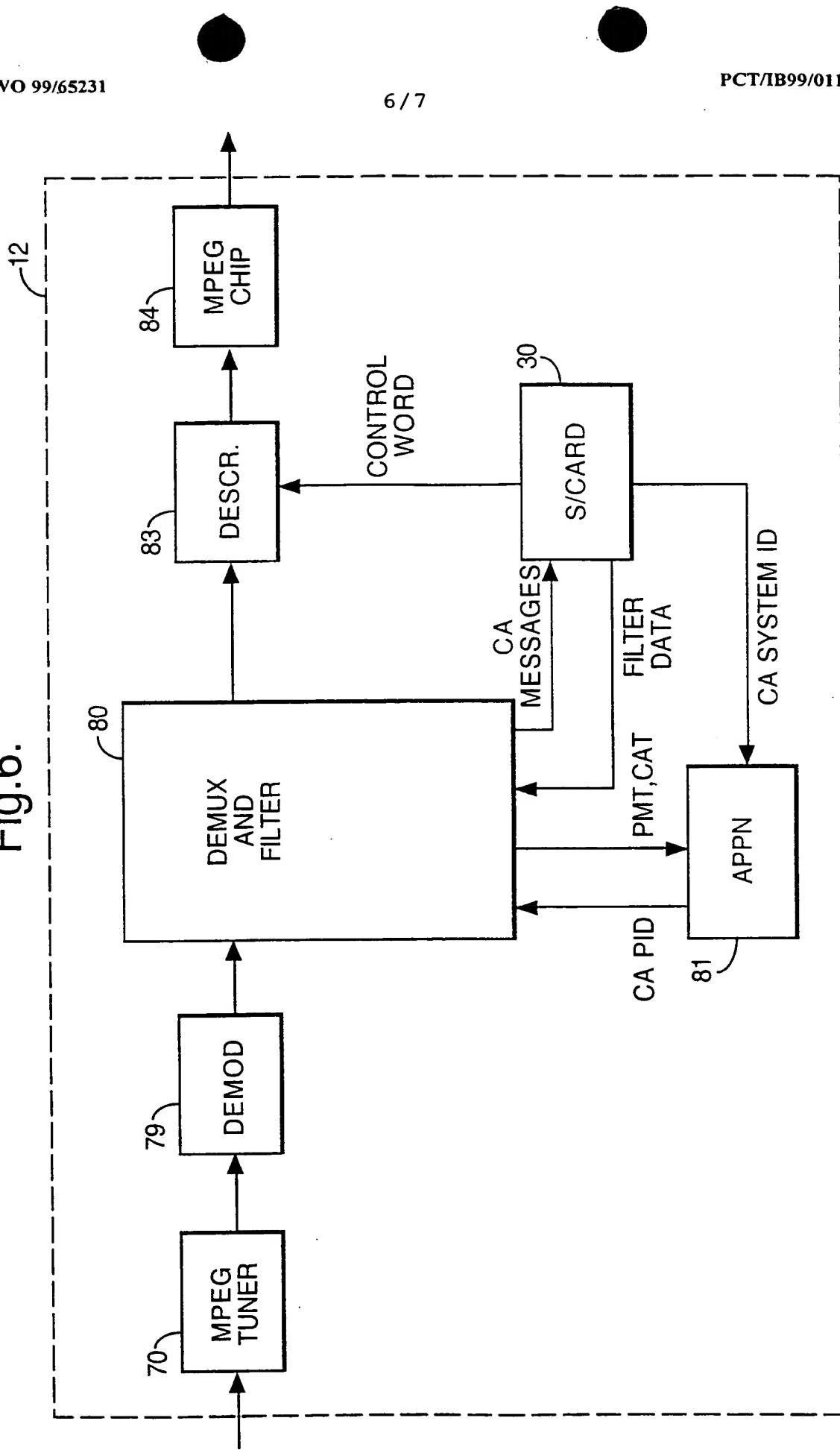
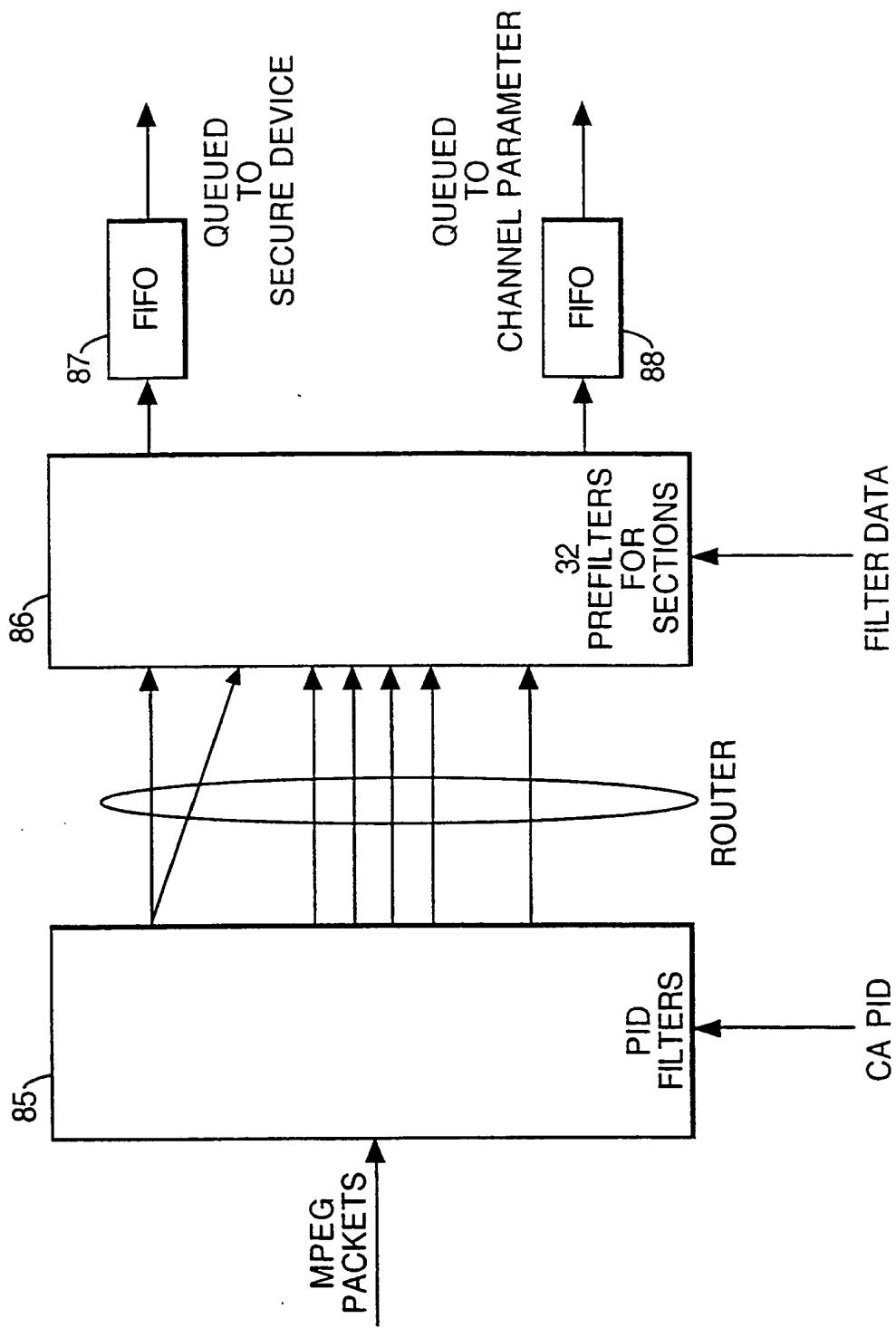


Fig. 7.



INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/01164

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 H04N5/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

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Date of mailing of the international search report

24 September 1999

04/10/1999

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INTERNATIONAL SEARCH REPORT

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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